

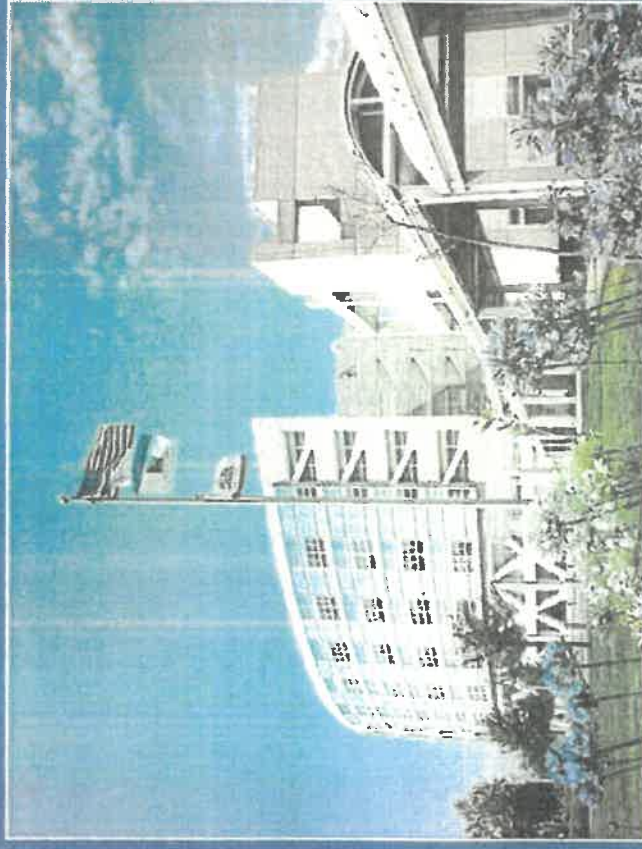


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Joint Conference Committee

Compliance Report (period Dec. 1, 2022 – Feb. 28, 2023)

Katrina S. Shelby, JD MBA
Associate Hospital Administrator
Quality & Accreditation



Compliance Program/Activity

- **Compliance Program/Activity:**
 - Continue Coordination of transition for interpretation services for CyraCom.
 - Finalizing policies for Interpretation Services.
 - Monthly review/audit for patients who are deaf or hard of hearing. Review/audit to determine if patient was provided appropriate aid or interpreter in a timely manner.
 - Coordination of Form 700 filing for CY 2022 , due April 3, 2023.

Compliance Program/Activity

- **Compliance Program Review:**
 - Monthly review of the Office of Inspector General (OIG) exclusion list to ensure vendors and staff are not excluded from Federally funded health care programs (Ongoing).
 - There were no incidents of Fraud, Waste or Abuse reported for this quarter.

Health Insurance Portability and Accountability Act (HIPAA) Privacy & Security Report Highlights

- Enterprise Password Management platform (Bitwarden) Implementation completed. Deployment in progress.
- On-premises email accounts migration from Microsoft Exchange to Microsoft 365 cloud platform completed. 5700 accounts migrated.
- Epic Hyperdrive local install implementation and integration with Single Sign-On technologies completed. Wacom integration completed. Other third-party integrations (Nuance, Hyland and 3M) currently in progress.

Security data provided by IT Security team



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Health Insurance Portability and Accountability Act (HIPAA) Privacy & Security Report Highlights

- Jamf Trust for Mobile Device Management. A security platform that brings threat protection and data policies to ARMC issued mobile devices. Deployed to Information Management (IM), Environment Services (EVS), Facilities Management, Rover phones and Butterfly devices. Other department deployments in progress. Currently 50% overall deployment.
- Tanium platform implementation completed. An endpoint management and security platform to strengthen and optimize ARMC's cybersecurity posture. Deployment currently in progress (70%).

Security data provided by IT Security team



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HIPAA Reportable Breaches

- There were no reportable breaches for the reporting period.



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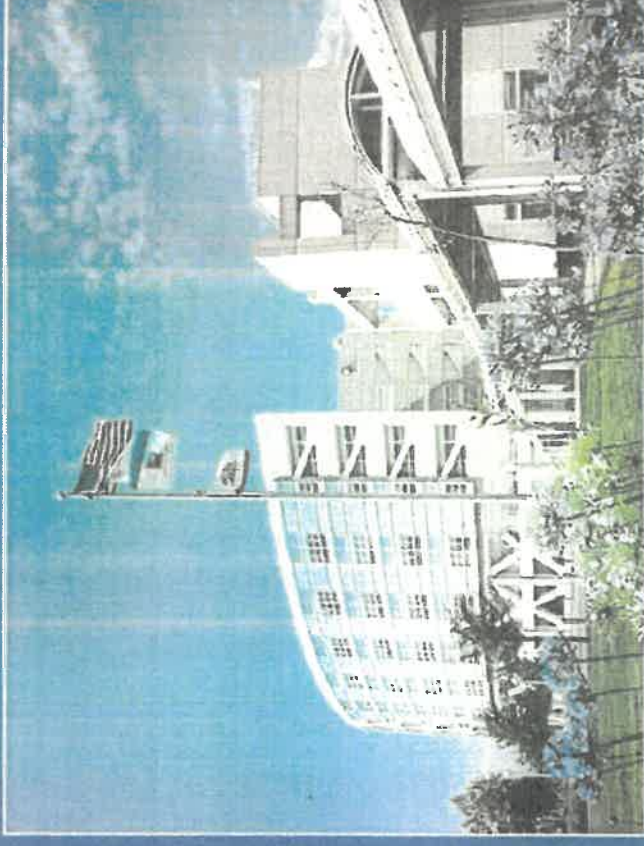


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Regulatory Compliance Update

(period December 1, 2022 – February 28, 2023)

Katrina S. Shelby, JD MBA
Associate Hospital Administrator
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Regulatory Update – Regulatory and Accrediting Agencies

- ARMC underwent twenty-four (24) CDPH complaint/follow-up visits resulting from patients, families, self-reports, and/or from employees or other anonymous sources that were investigated resulting in (1) deficiency related to a Hospital Acquired Pressure Injury. (December 2022 – February 2023)
- The Joint Commission (“TJC”) accepted a Root Cause Analysis related to a Sentinel Event (December 2022)
- ARMC received two (2) complaints submitted to The Joint Commission (“TJC”) (January 2023)

The Joint Commission (“TJC”) Visit Review

- TJC Visit February 14-17, 2023
- Survey Team
 - 3 Nurses, 1 Physician, 1 Engineer
- 1,622 elements of performance evaluated
- 44 areas of opportunity were identified
 - Evidence of Standards Compliance
 - Environment of Care/Life Safety corrections are due on 4/10/2023
 - All other findings are due on 4/25/2023
- Two (2) areas identified at the Centers for Medicare and Medicaid Services (“CMS”) condition-level (EOC/LS)
 - Due by 4/10/23

TJC Visit Review

- 1 Leadership
- 1 Medical Staff
- 1 Record of Care
- 2 Medication Management
- 2 National Patient Safety Goal
- 3 Transplant (Tissue) Safety
- 5 Provision of Care
- 7 Infection Control
- 10 Life Safety
- 12 Environment of Care

TJC Visit Review

- Excellent practices in the Pharmacy and Sterile Processing Departments
- Individualized patient care plans
- Organized and clean supply spaces
- Use and documentation of medically qualified interpreters
- A robust antimicrobial stewardship program
- Hand Hygiene compliance programs
- Universal Protocol/Procedural Time Out Process
- Evidence-Based Care Bundles resulting in zero infections
- Good environment of care documentation

Questions?

