

THE INFORMATION IN THIS BOX IS NOT A PART OF THE CONTRACT AND IS FOR COUNTY USE ONLY



Contract Number

24-158

SAP Number

Arrowhead Regional Medical Center

Department Contract Representative
Telephone Number

William L. Gilbert

(909) 580-6150

Contractor
Contractor Representative
Telephone Number
Contract Term

The RAND Corporation

Katherine Watkins, MD

(310) 393-0411

February 27, 2024 through March
31, 2028

Original Contract Amount
Amendment Amount
Total Contract Amount
Cost Center

Briefly describe the general nature of the contract: Revenue Subaward Agreement with RAND Corporation for work on the National Institute of Health funded study, "Reducing Overdose and Suicide Risk in Individuals with Opioid Use Disorder and Co-occurring Disorders", in the amount of \$111,065 for the period of February 27, 2024 through March 31, 2028.

FOR COUNTY USE ONLY
Approved as to Legal Form

Charles Phan, Deputy County Counsel

Date 2/15/2024

Reviewed for Contract Compliance



Date

Reviewed/Approved by Department

William L. Gilbert, Director

Date

2/20/24

RAND COST REIMBURSABLE SUBAWARD AGREEMENT FROM FEDERAL GRANT AWARD			
PASS-THROUGH ENTITY (PTE): RAND Corporation PTE Principal Investigator (PI): Katherine E Watkins		SUBRECIPIENT: County of San Bernardino d/b/a Arrowhead Regional Medical Center Subrecipient PI: Louis Tran	
PTE Federal Award No. ("Prime Award" or "FAIN") R01DA056588		RAND SUBAWARD NO.: SCON-00000611	
Federal Awarding Agency: National Institute of Health (NIH)			
Project Title: Reducing Overdose and Suicide Risk in Individuals with OUD and Co-occurring Disorders			
Subaward Budget Period: Start: 01-Sep-2023 End: 31-Mar-2024		Amount Funded This Action (USD): \$6,805.00	
Estimated Period of Performance (if incrementally funded): Start: 01-Sep-2023 End: 31-Mar-2028		Incrementally Estimated Total (USD): \$111,065.00	
TERMS AND CONDITIONS			
1) PTE hereby awards a cost reimbursable Subaward, as determined by 2 CFR 200.331, to Subrecipient. The Statement of Work and Budget for this Subaward are as shown in Attachments 5. In its performance of the Subaward work, Subrecipient shall be an independent entity and not an employee or agent of PTE. 2) Subrecipient shall submit invoices not more often than monthly and not less frequently than quarterly for allowable costs incurred. Upon the receipt of proper invoices, PTE agrees to process payments in accordance with this Subaward and 2 CFR 200.305. All invoices shall be submitted using Subrecipient's standard invoice, but at a minimum shall include current and cumulative costs (including cost sharing), breakdown by major cost category, Subaward number, and certification as required in 2 CFR 200.415(a). Invoices that do not reference PTE's Subaward number shall be returned to Subrecipient. Invoices and questions concerning invoice receipt or payments shall be directed to the party's Financial Contact, shown in Attachment 3. 3) A final statement of cumulative costs incurred, including cost sharing, marked "FINAL," must be submitted to PTE's Financial Contact, as shown in Attachment 3, not later than sixty (60) calendar days after the final Budget Period end date. The final statement of costs shall constitute Subrecipient's final financial report. 4) All payments shall be considered provisional and subject to adjustment within the total estimated cost in the event such adjustment is necessary as a result of an adverse audit finding against the Subrecipient. 5) Matters concerning the technical performance of this Subaward shall be directed to the appropriate party's Principal Investigator, as shown in Attachment 3. Technical reports are required as shown in Attachment 4. 6) Matters concerning the request or negotiation of any changes in the terms, conditions, or amounts cited in this Subaward, and any changes requiring prior approval, shall be directed to each party's Authorized Official, as shown in Attachment 3. Any such changes made to this Subaward requires the written approval of each party's Authorized Official as shown in Attachment 3. 7) PTE may issue non-substantive changes to the Budget Period(s) and Budget Unilaterally. Unilateral amendments shall be considered valid 14 calendar days after receipt unless otherwise indicated by Subrecipient when sent to the Subrecipients Authorized Official Contact, as shown in Attachment 3. 8) Each party shall be responsible for its negligent acts or omissions and the negligent acts or omissions of its employees, officers, or director's, to the extent allowed by law. 9) Each party may terminate this Subaward with 30 days written notice. Notwithstanding, if the Federal Awarding Agency terminates the Federal Award, PTE will terminate in accordance with Awarding Agency requirements. PTE notice shall be directed to each party's Authorized Official Contact, as shown in Attachment 3. PTE shall pay Subrecipient for termination costs as allowable under Uniform Guidance (2 CFR 200) or 45 CFR Part 75 Appendix IX, as applicable. 10) Both parties agree that they will endeavor to resolve any disputes arising from or related to this Subaward amicably through discussions with each other. Except as required by law, each party shall treat the existence of any dispute, negotiation or arbitration and details of all submissions, proceedings, and decisions related thereto as confidential and shall not disclose them to any third party or publicize them in any way. 11) The provisions of this agreement are severable, and if any provisions are determined to be invalid or unenforceable by a court of competent jurisdiction, the remaining provisions shall continue in full force and effect. By signing this Subaward, including the attachments hereto which are hereby incorporated by reference, Subrecipient certifies that it will perform the Statement of Work in accordance with the terms of this Subaward and the applicable terms of the Federal Award, including the appropriate Research Terms and Conditions ("RTCs") of the Federal Awarding Agency, as referenced in Attachment 2. The parties further agree that the intend this Subaward to comply with all applicable Federal, State and Local laws, rules, regulations, and requirements.			
By an Authorized Official of PTE:		By an Authorized Official of SUBRECIPIENT:	
Name: _____ Date: _____ Title: _____		Name: <u>Dawn Rowe</u> Date: <u>FEB 27 2024</u> Title: <u>Chair, Board of Supervisors</u>	

ATTACHMENT 1 CERTIFICATIONS AND ASSURANCES

CERTIFICATION REGARDING LOBBYING (2 CFR 200.450)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief, that no Federal appropriated funds have been paid or will be paid, by or on behalf of the Subrecipient, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement in accordance with 2 CFR 200.450.

If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or intending to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Subrecipient shall complete and submit Standard Form -LLL, "Disclosure Form to Report Lobbying," to the PTE.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 U.S.C. 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (2 CFR 200.214 AND 2 CFR 180)

By signing this Subaward, the Subrecipient Authorized Official certifies, to the best of his/her knowledge and belief that neither the Subrecipient nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency, in accordance with 2 CFR 200.213 and 2 CFR 180.

AUDIT AND ACCESS TO RECORDS

Subrecipient certifies that it will provide PTE with notice of any adverse findings which impact this Subaward.

Subrecipient certifies compliance with applicable provisions of 2 CFR 200.501-200.521. If Subrecipient is not required to have a Single Audit as defined by 200.501, Awarding Agency requirements, or the Single Audit Act, then Subrecipient will provide notice of the completion of any required audits and will provide access to such audits upon request.

Subrecipient will provide access to records as required by parts 2 CFR 200.337 and 200.338 as applicable.

PROGRAM FOR ENHANCEMENT OF CONTRACTOR EMPLOYEE PROTECTIONS (41 U.S.C 4712)

Subrecipient is hereby notified that they are required to: inform their employees working on any federal award that they are subject to the whistleblower rights and remedies of the program; inform their employees in writing of employee whistleblower protections under 41 U.S.C §4712 in the predominant native language of the workforce; and include such requirements in any agreement made with a subcontractor or subgrantee.

FLOWDOWN OF CERTIFICATIONS

The Subrecipient shall require that the language of the certifications above in this Attachment 1 be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

USE OF NAME

Neither party shall use the other party's name, trademarks, or other logos in any publicity, advertising, or news release without the prior written approval of an authorized representative of that party. The parties agree that each party may use factual information regarding the existence and purpose of the relationship that is the subject of this Subaward for legitimate business purposes, to satisfy any reporting and funding obligations, or as required by applicable law or regulation without written permission from the other party. In any such statement, the relationship of the parties shall be accurately and appropriately described.

PROHIBITION ON CERTAIN TELECOMMUNICATION AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT

Pursuant to 2 CFR 200.216, Subrecipient will not obligate or expend funds received under this Subaward to: (1) procure or obtain; (2) extend or renew a contract to procure or obtain; or (3) enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services (as described in Public Law 115-232, section 889) as a substantial or essential component of any system, or as a critical technology as part of any system.

NOTICE OF RAND'S POLICY RELATED TO AFFIRMATIVE ACTION EFFORTS, EQUAL EMPLOYMENT, AND EQUAL OPPORTUNITY

The RAND Corporation is a federal contractor subject to Executive Order 11246, Section 4212 of the Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, and Section 503 of the Rehabilitation Act of 1973, as amended. As such RAND is committed to taking positive steps to implement the employment-related aspects of the company's equal employment opportunity policy. It is the company's policy to take affirmative action to employ, advance in employment, and otherwise treat qualified applicants and employees without regard to their race, religion or creed, color, national origin or citizenship status', ancestry, physical disability, mental disability, medical condition, genetic information, marital status, sex (including pregnancy; childbirth; and medical conditions related to pregnancy, childbirth, or breastfeeding), sexual orientation, gender, gender identity, gender expression, age over 40 years, military or veteran status, or any other legally protected condition or characteristic.

Pursuant to 41 CFR §60-300.44(f)(1)(ii) and 741.44(f)(1)(ii), we hereby notify you of our company policy related to our affirmative action efforts and request appropriate action on your part.

If applicable, the parties hereby incorporate the requirements of 41 CFR §60 1.4(a)(7), and 29 CFR Part 471, Appendix A to Subpart A. If applicable, this contractor and subcontractor shall also abide by the requirements of 41 CFR §60 300.5(a) and 41 CFR §60 741.5(a). These regulations prohibit discrimination against qualified protected veterans and qualified individuals on the basis of disability, and requires affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified protected veterans and individuals with disabilities.

SUBRECIPIENT INSURANCE REQUIREMENTS

Subrecipient represents that it carries sufficient insurance coverage to comply with the applicable requirements of federal, state and local laws as well as its obligations under this Subaward.

PUBLICATIONS

Each party shall have the right to publish and disseminate information derived from the performance of work under this Agreement in accordance with the Federal Awarding Agency's policies and any additional restrictions stated (if applicable) in Attachment 2.

All authors contributing to a RAND led publications and/or presentations must adhere to RAND's Standards for High-Quality Research and Analysis (found at <https://www.rand.org/about/standards.html>) and be responsive to the RAND Quality Assurance review and satisfy its conditions prior to submission or release. "Publications" refers to literature reviews, technical publications, journal articles and any other types of publications. "Presentations" refers to delivering of speeches or papers at conferences, symposiums, etc.

ELECTRONIC SIGNATURE

This Agreement (Agreement) may be executed in any number of counterparts, each of which so executed shall be deemed to be an original, and such counterparts shall together constitute one and the same Agreement. The parties shall be entitled to sign and transmit an electronic signature of this Agreement (whether by facsimile, PDF or other email transmission), which signature shall be binding on the party whose name is contained therein. Each party providing an electronic signature agrees to promptly execute and deliver to the other party an original signed Agreement upon request.

(end of section)

ATTACHMENT 2

FEDERAL AWARD TERMS CONDITIONS

REQUIRED DATA ELEMENTS:

The data elements required by 2 CFR 200 are incorporated as entered in this Attachment 2 due to PTE not incorporating the NOA as part of this Subaward.

Awarding Agency Institute (If Applicable): DHHS/NIH/National Institute on Drug Abuse

Federal Award Issue Date: 07-June-2023

FAIN: R01DA056588

Assistance Listing No.: 93.279

Assistance Listing Program Title (ALPT): Drug Abuse and Addiction Research Programs

THIS SUBAWARD IS:

Research & Development: YES

Subject to FFATA: YES

KEY PERSONNEL PER NOA:

Dr. Kate Watkins (RAND Corporation), Dr. Mariah Kalmin (RAND Corporation), Dr. Andrew Herring (Highland Hospital – Alameda Health System)

GENERAL TERMS AND CONDITIONS

By signing this Subaward, Subrecipient agrees to the following:

- 1) To abide by the conditions on activities and restrictions on expenditure of federal funds in appropriations acts that are applicable to this Subaward to the extent those restrictions are pertinent. This includes any recent legislation noted on the Federal Awarding Agency's website: NIH at <http://grants.nih.gov/policy/notices.htm>
- 2) 2 CFR 200 and 45 CFR Part 75 (DHHS)
- 3) The Federal Awarding Agency's grants policy guidance, including addenda in effect as of the beginning date of the period of performance or as amended found at: NIH at <http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>
- 4) Research Terms and Conditions, including any Federal Awarding Agency's Specific Requirements found at: <https://www.nsf.gov/awards/managing/rtc.jsp>
 - a) No-cost extensions require the written approval of the PTE. Any requests for a no-cost extension shall be directed to the Authorized Official Contact shown in Attachment 3, not less than 30 days prior to the desired effective date of the requested change.
 - b) Any payment mechanisms and financial reporting requirements described in the applicable Federal Awarding Agency Terms and Conditions and Agency-Specific Requirements are replaced with Terms and Conditions (1) through (4) of this Subaward; and
 - c) Any prior approvals are to be sought from the PTE and not the Federal Awarding Agency.
 - d) Title to equipment as defined in 2 CFR 200.1 that is purchased or fabricated with research funds or Subrecipient cost sharing funds, as direct costs of the project or program, shall vest in the Subrecipient subject to the conditions specified in 2 CFR 200.313.
 - e) Prior approval must be sought for a change in Subrecipient PI or change in Key Personnel, not less than 30 days prior to the desired effective date of the requested change.
- 5) Treatment of program income: Additive.

SPECIAL TERMS AND CONDITIONS

Data Sharing and Access:

Subrecipient agrees to comply with the Federal Awarding Agency's data sharing and/or access requirements as reflected in the NOA or the Federal Awarding Agency's standard terms and conditions as referenced in General Terms and Conditions 1-4 above.

Data Rights:

Subrecipient grants to PTE the right to use data created in the performance of this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Copyrights:

Subrecipient grants to PTE an irrevocable, royalty-free, non-transferable, non-exclusive right and license to use, reproduce, make derivative works, display, and perform publicly any copyrights or copyrighted material (including any computer software and its documentation and/or databases) first developed and delivered under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its PTE Federal Award.

Subrecipient grants to PTE the right to use any written progress reports and deliverables created under this Subaward solely for the purpose of and only to the extent required to meet PTE's obligations to the Federal Government under its Federal Award.

Promoting Objectivity in Research (COI) – Select One:

- ☐ Subrecipient hereby certifies it has implemented and is enforcing a written policy of financial conflict of interest compliant with the Public Health Service (PHS) provisions of 42 CFR Part 50 Subpart F and 45 CFR Part 94. All individuals responsible for the design, conduct or reporting of research for the proposal have made the required disclosures to the Subrecipient's institutional official in accordance with the Subrecipient's policy, and Subrecipient agrees to forward information about financial conflicts of interest to RAND for reporting to the PHS as required by the regulations.

- ☐ Subrecipient hereby certifies it has implemented and is enforcing a written policy of financial conflict of interest compliant with the National Science Foundation's (NSF) policy (NSF - NSF PAPPG Chapter IX.A) on Conflict of Interest and all individuals responsible for the design, conduct or reporting of research for the proposal have made the required disclosures. All required reports and disclosures have been made to the Subrecipient's Institutional Official in accordance with the Subrecipient's policy.
- ☒ Subrecipient has NOT implemented a written policy of financial conflict of interest compliant with PHS provisions of 42 CFR Part 50 Subpart F and 45 CFR Part 94 or NSF's Policy, as applicable. Subrecipient shall be subject to RAND's Conflict of Interest policy and disclosure requirements.

Subrecipient shall report any financial conflict of interest to RAND's Administrative Contact, as shown in Attachment 3. Any financial conflicts of interest identified shall subsequently be reported to federal awarding agency, as applicable. Such report shall be made before expenditure of funds authorized in this Subaward and within 45 calendar days of any subsequently identified financial conflict of interest.

NIH Terms and Conditions

Clinical Trial Indicator

The Clinical Trial Indicator in Section IV of the PTE's NOA is stated as: YES - The work being conducted by this Subrecipient per this subaward is a clinical trial.

Multiple PIs (MPI):

NO, this subaward is not subject to an MPI Leadership Plan.

Certificate of Confidentiality:

The Parties agree that this research funded in whole or in part by the National Institutes of Health ("NIH"), is subject to NIH Policy NOT-OD-17-109 (the "Policy") and therefore is deemed under the Policy to be issued a Certificate of Confidentiality ("Certificate") should the conditions outlined within the Policy apply. Accordingly, the subrecipients who collect or receive identifiable, sensitive information is required to adhere to the Policy and protect the privacy of individuals who are subjects of such research in accordance with the Policy and subsection 301(d) of the Public Health Service Act (the "PHS Act").

ADDITIONAL TERMS

- 1) No property may be purchased by the Subrecipient under this Subaward without prior approval from the PTE.
- 2) **FY2023 FUNDING**
The award amount for the current year is based upon IRG/Council recommendations, cost analysis, program priorities and availability of funds.
- 3) **BUDGET PERIOD**
This award is funded at the recommended level adjusted to a 9.5-month budget period. Future year anniversary dates for this grant will be April 1 and the Research Performance Progress Report (RPPR) is due on February 15.
- 4) **MULTIPLE PI**
The following principal investigators (PIs) are associated with this project:
 - Dr. K. Watkins, Rand Corporation
 - Dr. M. Kalmin, Rand Corporation
 - Dr. A. Herring, Highland Hospital - Alameda Health System

Dr. Watkins is the contact PI for correspondence purposes. As this grant has multiple PIs, all NIH Grant policies regarding multiple PIs, including, but, not limited to all prior approval requirements, must be followed accordingly, see NIH Grants Policy Statement, section on Multiple Program Director/Principal Investigators, found at <http://grants.nih.gov/policy/nihgps/index.htm>.
- 5) **NIH SALARY CAP**
None of the funds in this award shall be used to pay the salary of an individual at a rate in excess of the current salary cap. Therefore, this budget period and/or future budgeted years are adjusted accordingly, if applicable. Current salary cap levels can be found at the following URL: http://grants2.nih.gov/grants/policy/salcap_summary.htm.
- 6) **DATA AND SAFETY MONITORING PLAN**
This award is subject to the Data and Safety Monitoring Plan (DSMP) submitted and approved by NIDA via application dated 07/01/22. Any changes in the DSMP must be reviewed and approved by the NIDA Program Official. If changes are approved, the approval will be reflected on the Notice of Award (NoA) via revision. If changes are not approved, the Principal Investigator must revise the DSMP to the satisfaction of the NIDA Program Official. The Principal Investigator must provide a DSMP for any new trial that is to be conducted under this grant.
- 7) **DATA AND SAFETY MONITORING BOARD**
This award is subject to the [NIDA Guidelines for Establishing and Operating a Data and Safety Monitoring Board](#).
- 8) **NIDA TERMS**
In conjunction with the Acknowledgment of Federal Funding Requirement (as specified in the NIH Grants Policy Statement, Appropriation Mandates <http://grants.nih.gov/policy/nihgps/index.htm>), in order to most effectively disseminate research results, advance notice should be given to NIDA that research findings are about to be published so that we may coordinate

accurate and timely release to the media. This information will be embargoed until the publication date. Please see the NIDA Special Considerations Page for guidance on coordination with the NIDA Press Office at <https://www.drugabuse.gov/funding/special-considerations-for-nida-funding> or contact the NIDA Press Office at media@nida.nih.gov.

Please see Special Considerations for NIDA Funding Opportunities and Awards at <https://www.drugabuse.gov/funding/special-considerations-for-nida-funding>.

(end of section)

ATTACHMENT 3 SUBAWARD AGREEMENT CONTACTS

PASS-THROUGH ENTITY (PTE) INFORMATION		
Entity's Legal Name: The RAND Corporation		
Legal Address: 1776 Main Street, PO Box 2138, Santa Monica, CA 90407-2138		
Website: www.rand.org		
Institution Type: Non-Profit Organization	UEI: YY46Q97AEZA8	DUNS: 00-691-4071
PTE CONTACTS		
CENTRAL EMAIL: SUBCONTRACTS@rand.org		
Principal Investigator Name: Katherine Watkins, MD		
Telephone: 310.393.0411	E-Mail: kwatkins@rand.org	
Administrative Contact Name: Nancy Su, Subaward Administrator - CGPS		
Telephone: 310.393.0411	E-Mail: subcontracts@rand.org	
Financial Contact Name: CGPS Subaward Team		
All Invoices To Be Submitted Electronically via Email To: SUBINVOICES@rand.org		
Authorized Official Name: Samantha Cogbill, Senior Manager, Procurement & Compliance-CGPS		
Telephone: 310.393.0411 Ext 7898	Via Central Email: SUBCONTRACTS@rand.org	

SUBRECIPIENT INFORMATION		
Entity's UEI/DUNS Name: County of San Bernardino		
Institution Type: County/City/Township Government Agency		
Are you currently registered in SAM.gov? Yes, we have an active SAM.gov account		
EIN: 956002748	UEI: S38WJACVEL61	Parent UEI/DUNS: 075100599
INFORMATION FOR FFATA REPORTING (<i>visit https://www.fsrcs.gov/ for more information on this requirement</i>):		
Is your Entity exempt from reporting executive compensation: Yes, we are exempt from executive compensation reporting.		
Place of Performance Information for FFATA Reporting		
Physical Address, City, State (If US) and Country: 400 N. Pepper Ave. Colton CA 92324		
For U.S. Entities Only – Performance Congressional District: CA-31		Performance Zip Code + 4: 92324-1819
SUBRECIPIENT CONTACTS		
CENTRAL EMAIL: gme@armc.sbcounty.gov		
Website: www.arrowheadregional.org		
Principal Investigator Name: Louis Tran	Title/Dept: Physician – Emergency Medicine	
Telephone: 949-285-6333	E-Mail: tranlo@armc.sbcounty.gov	
Administrative Contact Name: Gregory Young	Title/Dept: Interim Healthcare Program Administrator - ORG	
Telephone: 909-580-6133	E-Mail: younggr@armc.sbcounty.gov	
Financial Contact Name: Gregory Young	Title/Dept: Interim Healthcare Program Administrator - ORG	
Telephone: 909-580-6133	E-Mail: younggr@armc.sbcounty.gov	
Payment Address (if different from legal address):		
Invoice/Payment Email: younggr@armc.sbcounty.gov		
Authorized Official Name: William Gilbert	Title/Dept: Hospital Director	
Telephone: 909-580-6150	E-Mail: gilbertw@armc.sbcounty.gov	
Legal Address: 400 N. Pepper Ave. Colton CA 92324		
Administrative Address: 400 N. Pepper Ave. Colton CA 92324		
Payment Address: 400 N. Pepper Ave. Colton CA 92324		

(end of section)

ATTACHMENT 4

REPORTING AND PRIOR APPROVAL TERMS

Subrecipient agrees to submit the following reports (PTE contacts are identified in Attachment 3). Failure of the Subcontractor to adhere to any of the required reporting requirements checked above may be considered noncompliance and result in RAND taking enforcement action against noncompliant subcontractors as described in 2 CFR 200.339-Remedies For Noncompliance.

TECHNICAL REPORTS:

- ☐ Monthly technical/progress reports will be submitted to the PTE's Principal Investigator within 15 days of the end of the month.
- ☐ Quarterly technical/progress reports will be submitted within 30 days after the end of each project quarter to the PTE's Principal Investigator.
- ☐ Annual technical/progress reports will be submitted within 60 days prior to the end of each budget period to the PTE's Principal Investigator. Such report shall also include a detailed budget for the next Budget Period, updated other support for key personnel, certification of appropriate education in the conduct of human subject research of any new key personnel, and annual IRB or IACUC approval, if applicable.
- ☐ A Final technical/progress report will be submitted to the PTE's Principal Investigator within 60 days of the end of the Project Period or after termination of this award, whichever comes first.
- ☒ Technical/progress reports on the project as may be required by PTE's Principal Investigator in order to satisfy its reporting obligations to the Federal Awarding Agency.

PRIOR APPROVALS

- ☒ Carryover is automatic.
- ☐ Carryover is restricted for this subaward by the (Federal Awarding Agency / PTE). Subrecipient is to submit all carryover requests to the PTE Administrative Contact.
- ☐ Any re-budgeting between budget categories requires the written approval of the PTE. All requests for re-budgeting shall be directed to the PTE Administrative Contact.

ADMINISTRATIVE/FINANCIAL REPORTING REQUIREMENTS

- ☐ All invoices must be submitted to the PTE Financial Contact with the backup documentation selected below. Failure to provide this documentation will result in the invoice being declared invalid and will be returned to the Subrecipient:
 - ☐ General ledger (GL) listing from Subrecipient's accounting system for all invoiced incurred costs
 - ☐ Detailed backup documentation for all invoiced incurred costs (i.e.: copies of all relevant invoices)
- ☐ Each invoice must be accompanied by a brief technical report, and: (i) be sequentially numbered; (ii) indicate the date(s) of performance by the Subrecipient; (iii) state the Purchase Order number, the title of the project and the name of the PTE Principal Investigator; (iv) itemize costs in detail, in accordance with the Subaward budget; (v) include both current costs and cumulative costs; (vi) include the Subrecipient certification, with authorized official's signature, that costs are appropriate and accurate and that payment has not yet been received; and (vii) be supported by a general ledger report originating directly from the Subrecipient's financial record keeping system. PTE may request supporting documentation in certain categories prior to or subsequent to approving the invoice. Supporting documentation includes, but is not limited to, travel receipts, purchase orders, invoices for services or supplies, or time records, Property Inventory Report; frequency, type, and submission instructions listed here and only to be used when required by PTE Federal Award.

OTHER REPORTS:

- ☐ In accordance with 37 CFR 401.14, Subrecipient agrees to notify both the Federal Awarding Agency via iEdison and PTE's Administrative Contact within 60 days after Subrecipient's inventor discloses invention(s) in writing to Subrecipient's personnel responsible for patent matters. The Subrecipient will submit a final invention report using Federal Awarding Agency specific forms to the PTE's within 60 days of the end of the Project Period to be included as part of the PTE's final invention report to the Federal Awarding Agency.

A negative report is required: UPON REQUEST

- ☐ Property Inventory Report (only when required by Federal Awarding Agency), specific requirements below.
- ☐ OTHER:

(end of section)

ATTACHMENT 5

STATEMENT OF WORK, COST SHARING, INDIRECTS & BUDGET

STATEMENT OF WORK

Dr. Louis Tran, site PI will:

- i. Providing clinical and administrative oversight
- ii. Act as a liaison between the study leadership and site clinical staff.
- iii. Serve as an advocate for their organization and assist in study implementation.
- iv. Participate in coordinating meetings between study leadership and clinic staff and promote active participation in the study by clinic staff.
- v. Engage in problem-solving to address challenges that arise during the study, and if unable to resolve these problems, will refer them to study leadership to address.
- vi. Provide clinical support to and administrative oversight of the SUN(s)
- vii. When study participants are randomized to conditions that involve the ED-based interim treatment team, the site PI and SUN will participate in a weekly interim treatment team meeting (1-2 hours) with or without a mental health prescribing provider.

BUDGET INFORMATION

SUBRECIPIENT INDIRECT COST RATE

Indirect Cost Rate (IDC) Rate Applied to this Budget Total 10.00%

IDC Rate Type: Modified Total Direct Costs (MTDC)

COST SHARING

Cost sharing included: NO. If YES, cost share commitment: N/A

SUBAWARD BUDGET DETAILS

NOTE: Subrecipient may incur obligations and expenditures to cover costs between the start of the period of performance and the issuance of this Subaward, if such costs are necessary to conduct the project, and would be allowable under the approved budget as stated in the Federal Awarding Agency policies.

Attached is the full detailed budget for all budget years, 1 pages. The Subaward budget amount includes all applicable Federal, State and Local taxes and duties.

AWARDED FUNDING THIS ACTION	
BUDGET TOTALS (all amounts in US Dollars)	
Direct Costs	6,186.00
Indirect Costs	619.00
TOTAL COSTS	\$ 6,805.00

BRIDGE R01 Study Budget Draft

Title: Reducing Overdose and Suicide Risk in Individuals with OUD and Co-occurring Disorders

Arrowhead Regional Medical Center (ARMC)			Year 1 - (09/01/23-03/31/23) (7 months)			Year 2 (04/01/2024 - 03/31/2025)			Year 3 (04/01/2025 - 03/31/2026)			Year 4 (04/01/2026 - 03/31/2027)			Total
Personnel	Role	Salary	Months	Effort	Salary/Cost	Months	Effort	Salary/Cost	Months	Effort	Salary/Cost	Months	Effort	Salary/Cost	Cost
Louis Tran	Sub-PI	\$212,100	0.60	58%	\$6,186	0.30	100%	\$5,462	0.30	100%	\$5,625	0.30	100%	\$5,794	\$23,067
Ed Pillar	Co-I	\$212,100				0.15	100%	\$2,731	0.30	100%	\$5,625	0.20	100%	\$3,863	\$12,219
TBD	Navigator	\$85,000	0.00			3.00	100%	\$21,250	3.00	100%	\$21,888	3.00	100%	\$22,544	\$65,682
Total Salary					\$6,186			\$29,443			\$33,138			\$32,201	\$100,968
Fringe Rate			0.00%		\$0	0.00%		\$0	0.00%		\$0	0.00%		\$0	\$0
Sub-Total					\$6,186			\$29,443			\$33,138			\$32,201	\$100,968
Total Direct Costs					\$6,186			\$29,443			\$33,138			\$32,201	\$100,968
Indirect Costs	MTDC	10%			\$619			\$2,944			\$3,314			\$3,220	\$10,097
Total Costs					\$6,805			\$32,387			\$36,452			\$35,421	\$111,065



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Santa Monica, CA
90407-2138

ANNUAL SUBRECIPIENT MONITORING COMPLIANCE CERTIFICATION (EXTENDED)

Our records indicate that your organization was a subrecipient of research funds awarded to and pass-through via a subaward/subcontract agreement from the RAND Corporation during your recently ended fiscal year or is currently being considered for a new subaward/subcontract agreement. This form is required from all subrecipients at least annually to assist RAND in performing our subrecipient monitoring activities on all active subrecipients and to ensure our procurement system records for your organization are up to date. Failure to complete this form could be considered non-compliance and could result in delays in the issuance of new funding or suspension of current funding. See the last page for definitions and resources for additional information.

Please have an authorized representative from your organization sign and return this form, along with any required additional documentation, via email to SubMonitoring@rand.org within ten business days. Thank you for your cooperation in this matter.

SECTION A: SUBRECIPIENT PROFILE

In accordance with US Government regulations and as major prime federal contractor, RAND is required to maintain a Small Business Program and periodically report to the Government our procurement activity.

SUBRECIPIENT ENTITY INFORMATION

Legal Name of Subrecipient Organization: County of San Bernardino

Doing Business As (DBA) Name, if different: Arrowhead Regional Medical Center

DUNS: 075100599 Federal Tax ID Number: 956002748 Recently Completed/Ended Fiscal Year End Date: 2023

Do you have a Parent Entity? ☒ NO ☐ YES, Name/TID/DUNS of Parent: _____

System for Award Management (SAM) Expiration Date: 1/10/2024

Unique Entity Number (UEI) assigned to you by SAM (if available)? S38WJACVEL61

Mailing Address: 400 North Pepper Ave. Colton, CA 92324-1819

ADDRESS CITY STATE ZIP+4 COUNTRY

Point of Contact for Subaward Agreements: Greg Young

Name to which payments should be made: County of San Bernardino DBA Arrowhead Regional Medical Center

Remit to Address (if different from above): _____

Accounts Payable Email: younggr@armc.sbcounty.gov

Phone: 909-5806133

Fax: _____

Preferred Payment Method: ☐ CHECK ☒ ACH/EFT (please attach bank information) ☐ Wire Transfer (please attach bank information)

NAICS Code(s) applicable to your Organizations subaward/subcontract collaboration work: _____

Does your Organization have an active profile on the Federal Demonstration Partnership (FDP) Expanded Clearinghouse website: ☒ NO ☐ YES

Select Your Organizations Federal Tax Classification from the following options:

☐ Individual/Sole Proprietor

☐ Trust / Estate

☒ State/Local Government

☐ Exempt Payee (exempt from backup withholding)

☐ C Corporation

☐ S Corporation

☐ Foreign

☐ Limited Liability Company (LLC)

☐ Partnership

☐ Other: _____

BUSINESS CLASSIFICATION & DIVERSITY INFORMATION

All suppliers must select either "Large Business Concern (LBC)" or "Small Business Concern (SBC)" from the options below. Additionally, everyone should select any sub-classification that applies and multiple sub-classifications may be appropriate. See the FAQ section for definitions and resources for additional information on these classifications.

☒ LARGE BUSINESS CONCERN (LBC)

Dominant in field of operations per Federal Acquisitions Circular (FAC 9.201)

LBC SUB CLASSIFICATIONS (Select all that apply):

☐ Minority-Owned Business (MBE)

☐ Woman-Owned Business (WBE)

☐ SMALL BUSINESS CONCERN (SBC)

Independently owned and operated, and meets industry size and receipt requirements for small businesses per SBA 13 CFR 121. Section 3 of the Small Business Act.

SBC SUB CLASSIFICATIONS (Select all that apply):

☐ Small Disadvantaged Business (SDB)

☐ Woman-Owned Small Business (WOSB)

☐ Historically Black Colleges/Universities & Minority Institutions

☐ Alaskan Native Corporations (ANCs) & Indian Tribes

☐ HUBZone Small Business (HUB Zone)

☐ Veteran-Owned Small Business (VOSB)

☐ Service Disabled Veteran-Owned Small Business (SDVOSB)

Notice: Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to sections 8(a), 8(d), 9 or 15 of the Small Business Act, or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall: (a) be punished by imposition of a fine, imprisonment, or both; (b) be subject to administrative remedies, including suspension and debarment; and (c) be ineligible for participation in programs conducted under the authority of the Act.

SECTION B: SUBRECIPIENT COMPLIANCE

As required under 2 CFR 200—Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards ("Uniform Guidance"), RAND is required to verify that each subrecipient that was issued pass-through funding is in compliance with all Federal statutes and regulations.

TYPE OF ORGANIZATION

Select from the drop down list the type of organization you are classified as in accordance with the definition in 2 CFR 200 Subpart A – Acronyms and Definitions

State or Local Government

Were there any organizational new management personnel or new and/or substantially changed business systems during the last year?

No

FOR ORGANIZATIONS SUBJECT TO UNIFORM GUIDANCE SECTION 2 C.F.R. § 200.501:

☐ We have not yet completed our annual single audit for the fiscal year. We will advise you of the results and provide a complete copy of the audit report when completed.

Expected Completion Date: _____

☐ We have completed our annual single audit and it has been uploaded to the Federal Audit Clearinghouse website. There were no material weaknesses, significant deficiencies, reportable conditions, or findings related to any subaward(s) issued to our organization from the RAND Corporation.

☐ We have completed our annual single audit and it has been uploaded to the Federal Audit Clearinghouse website. There were instances of noncompliance, reportable conditions, or findings related to subaward(s) issued to our organization from the RAND Corporation.

☒ We are not subject to OMB Uniform Guidance 2 C.F.R. § 200.501 because:

Our organization is a For-Profit Entity (note that US Federal Agency specific terms may still require you to undergo a single audit or other audit)

■ Our organization expended less than \$750,000 total in Federal Awards in the most recently completed/ended Fiscal Year stated in Section A of this form

Another exception applies (explain; note that "Foreign" is no longer a permitted exception unless the US Federal Agency has specified an exemption): _____



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ANNUAL SUBRECIPIENT MONITORING COMPLIANCE CERTIFICATION (EXTENDED)

ORGANIZATIONS WHO ARE NOT SUBJECT TO 2 C.F.R. § 200.501 MUST COMPLETE THE FOLLOWING SECTION:

- ☐ External independent audits for my organization have been completed for the most recent fiscal year. A complete copy of the audit report is enclosed.
- ☐ My organization/company has not been audited by a U.S. Government audit agency or by an independent Certified Public Accounting (CPA) firm for the most recent fiscal year. True and correct information concerning my organization are provided in the attached Financial Status Questionnaire, and in the attached unaudited financial statements covering the most recent fiscal year

NOTE: For organizations that do not undergo a single audit or have audited financials, please provide a copy of your unaudited financials.

Please check if the statement is true/accurate for your organization:

<input type="checkbox"/>	Other than financial statements, some aspect of your organization's activities has been audited within the last 2 years by a governmental agency or independent public account. (Please provide a copy of any recent external audit report.)
<input checked="" type="checkbox"/>	Duties are separated so that no one individual has complete authority over an entire financial transaction.
<input checked="" type="checkbox"/>	Your organization is not debarred or suspended and no employees working on RAND subawards are debarred or suspended.
<input checked="" type="checkbox"/>	Your organization has controls to prevent expenditure of funds in excess of approved, budgeted amounts.
<input checked="" type="checkbox"/>	Your organization has procedures in place to ensure that awarded funds are used only during the authorized period of performance.
<input checked="" type="checkbox"/>	All disbursements are properly documented with evidence of receipt of goods or performance of services.
<input checked="" type="checkbox"/>	All bank accounts are reconciled monthly.
<input checked="" type="checkbox"/>	Payroll charges are checked against program budgets.
<input checked="" type="checkbox"/>	There are procedures to ensure procurement at competitive prices.
<input checked="" type="checkbox"/>	There is an effective system of authorization and approval of capital equipment expenditures and travel expenditures.
<input checked="" type="checkbox"/>	There are detailed records of individual capital assets kept and periodically balanced with the general ledger accounts.
<input checked="" type="checkbox"/>	There are effective procedures for authorizing payment and accounting for the disposal of property and equipment.
<input type="checkbox"/>	Your organization has an indirect cost allocation plan or a negotiated indirect cost rate. (Please provide a copy of current rate agreement)
<input type="checkbox"/>	Your organization has a negotiated fringe benefit agreement.
<input type="checkbox"/>	Your organization has procedures that provide assurance that consistent treatment is applied in the distribution of charges to all grants, contracts and cooperative agreements.
<input checked="" type="checkbox"/>	Your organization has a formal policy of nondiscrimination and a formal system for complying with United States federal civil rights requirements.
<input checked="" type="checkbox"/>	Your organization has a cash forecasting process that will minimize the time elapsed between the drawing down of funds and the disbursement of those funds.
<input checked="" type="checkbox"/>	If your organization enters into agreements for work or research to be performed outside of the United States, it has systems in place to prevent and detect payments made to government officials in violation of the U.S. Foreign Corrupt Practices Act to allow or procure work and research opportunities for or on behalf of your organization.
<input checked="" type="checkbox"/>	Your organization's procurement staff have working knowledge of the FAR and OMB Administrative Requirements (Uniform Guidance) that are applicable to purchasing.
<input checked="" type="checkbox"/>	You maintain internal controls to ensure that subawards/contracts are issued only to eligible subrecipients / vendors.
<input checked="" type="checkbox"/>	Your organization has procedures in place to ensure subrecipients are provided with flow-through award information and compliance requirements, that subrecipient activities are monitored, that subrecipient audit findings are resolved, and that the impact of any subrecipient noncompliance is evaluated and addressed.
<input checked="" type="checkbox"/>	Your organization has formal, written policies to address: Pay rates and benefits Time and attendance / effort reporting Leave Travel Purchasing

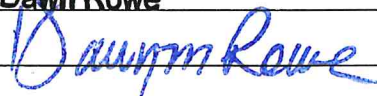
Please answer the following questions:

What system does your organization use to control paid time, especially time charged to sponsored agreements?	Meditech General Ledger system or spreadsheets
Briefly describe your organization's policies concerning capitalization and depreciation.	Straight line Depreciation
How does your organization ensure that all cost transfers performed are legitimate and appropriate?	Department managers approve all time cards and invoices, manual journal entries are approved by the Associate CFO before posting
Please describe the process for determining the indirect costs and fringe benefit expenses you charge RAND. (Answer only if you do NOT have negotiated rates)	We utilize the federal de minimis rate.
How does your organization determine that it has met cost sharing goals?	Tracking through the General Ledger system or spreadsheets
Does your organization engage in any lobbying or partisan political activity which is charged, directly or indirectly, to a federally assisted program? If yes, please describe.	No
Does your organization have a formal system for complying with the Davis-Bacon Act which requires payment of prevailing wages on federal contracts? If yes, please describe.	Yes, all contracts must have language pertaining to prevailing wage per county policy, if this language does not exist the Board of Supervisors will not execute the contract
Does your organization have a formal policy of nondiscrimination and a formal system for complying with U.S. Federal civil rights requirements? If yes, please describe.	Yes, San Bernardino County has policies regarding nondiscrimination
What was the dollar volume of U.S. Federal Government awards to your organization during the last fiscal year? (Provide by Agency and dollar amount)	\$0.00

COMMENTS

By signing, I certify that the information provided is accurate, and that all audit findings relating to funds provided by the RAND Corporation have been disclosed. I further certify that my organization is in compliance with OMB UNIFORM ADMINISTRATIVE REQUIREMENTS, COST PRINCIPLES AND AUDIT REQUIREMENTS FOR FEDERAL AWARDS, and with all other laws and regulations, to the extent it is applicable to subawards from RAND.

PRINTED NAME AND TITLE: Dawn Rowe Chair, Board of Supervisors

CERTIFIED BY (SIGNATURE):  DATE: FEB 27 2024



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ANNUAL SUBRECIPIENT MONITORING COMPLIANCE CERTIFICATION (EXTENDED)

DEFINITIONS AND RESOURCES FOR ADDITIONAL INFORMATION

2 CFR 200—*Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* ("Uniform Guidance") provides rules and regulations applicable to federal funded awards issued by the Office of Management and Budget (OMB). See the e-CFR website at <http://www.ecfr.gov/> to view the current version of the regulations.

The **Federal Demonstration Partnership (FDP)** is a cooperative initiative among 10 federal agencies and over 150 institutional recipients of federal funds. The Expanded Clearinghouse (<https://fdpclearinghouse.org/>) is an FDP-authorized system that publishes on-line organizational profiles for use in lieu of subrecipient commitment forms. Pass-through entities utilize this publicly-available information when issuing subawards or monitoring subrecipient organizations. This publicly available website provides online organization profiles to obtain entity-based information needed by pass-through entities when they are issuing subawards or monitoring their subrecipient entities.

The **System for Award Management (SAM)** is an official website of the US Government found at <https://www.sam.gov/>. There is no cost or registration fee to be a part of this database. SAM can be a marketing tool for businesses as the website allows Government agencies and other contractors to search for your company based on your ability, size, location, experience, ownership, and more. SAM also informs searchers of firms certified by the SBA under the 8(a) Development and HUBZone Programs.

Information regarding the new 12-character Unique Entity Identifier (UEI) numbers being assigned via SAM can be found at <https://www.gsa.gov/about-us/organization/federal-acquisition-service/office-of-systems-management/integrated-award-environment-iae/iae-information-kit/unique-entity-identifier-update>

NOTE: Some federally funded contracts and/or grants may require your Entity to register in SAM before any funding can be awarded by RAND.

"**Exempt Payee**" means exempt from backup withholding. Generally, individuals (including sole proprietors) are not exempt from backup withholding. If the payee is not exempt, RAND is required to backup withhold on reportable payments if the payee does not provide a TIN in the manner required or does not sign the certification, if required. Per IRS guidelines, the following payees are exempt from backup withholding:

1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2);
2. The United States or any of its agencies or instrumentalities;
3. A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions, agencies, or instrumentalities;
4. A foreign government or any of its political subdivisions, agencies, or instrumentalities; or
5. A corporation;
6. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession;
7. A futures commission merchant registered with the Commodity Futures Trading Commission;
8. A real estate investment trust;
9. An entity registered at all times during the tax year under the Investment Company Act of 1940;
10. A common trust fund operated by a bank under section 584(a);
11. A financial institution;
12. A middleman known in the investment community as a nominee or custodian; or
13. A trust exempt from tax under section 664 or described in section 4947.

The North American Industry Classification System (NAICS) classifies business establishments based on the activities in which they are primarily engaged. NAICS codes are also used for administrative, contracting, and tax purposes. NAICS is production oriented (not product oriented) and categorizes businesses with others that have similar methods of production. In order to determine the NAICS applicable to your organization, please visit the following website: <http://www.census.gov/epcd/www/naics.html>. **NOTE: RAND cannot make this determination for your organization.**

To find your ZIP CODE + 4, visit <https://tools.usps.com/go/ZipLookupAction!input.action>

To find your Congressional District, visit <https://www.census.gov/mycd/>

Registering with the US Small Business Administration: <http://www.sba.gov/content/register-government-contracting>

US Small Business Administration Resources: <https://www.sba.gov/contracting/resources-small-businesses>

Small Business Concern

A small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto. See <http://www.sba.gov/content/am-i-small-business-concern#>.

Minority-Owned Business (MBE)

Minimum 51% owned, controlled and operated day-to-day by one or more minority individuals; includes the following categories: African American; Hispanic American; Asian-Pacific American; Asian-Indian American; Native American (American Indian, Eskimo, Aleut, Native Hawaiian).

Small Disadvantaged Business (SDB)

Minimum 51% owned, controlled and operated day-to-day by one or more socially disadvantaged individuals. May be Section 8(a)-certified per 13 CFR 124.1002. Small Disadvantaged Ethnicity definitions include the following categories: African American; Hispanic American; Asian-Pacific American; Asian-Indian American; Native American (American Indian, Eskimo, Aleut, Native Hawaiian).

Woman-Owned Business (WBE) &/OR Woman-Owned Small Business (WOSB)

Minimum 51% owned and controlled by one or more women who have active involvement in day-to-day operations.

Historically Black Colleges/Universities & Minority Institutions

An institution determined by the Secretary of Education to meet the requirements of 34 CFR Section 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

HUBZone Small Business (HUB Zone)

SBA certified; is small and is located in an 'historically underutilized business zone' and is owned, controlled and operated at least 51% by U.S. citizens and at least 35% of employees reside in HUB zone. Visit <http://www.sba.gov/content/applying-hubzone-program> for more information.

Veteran-Owned Small Business (VOSB)

Minimum 51% owned, controlled and operated day-to-day by one or more U.S. veterans.

Service Disabled Veteran-Owned Small Business (SDVOSB)

Minimum 51% owned by one or more service-disabled veterans (if publicly owned, minimum 51% of the stock is owned by one or more service-disabled veterans), and the management and daily business operations of which are controlled by one or more service-disabled veterans (if permanently and severely disabled, by their spouse or primary care giver).

Alaskan Native Corporations (ANCs) & Indian Tribes

"**Alaska Native Corporation (ANC)**" means any Regional Corporation, Village Corporation, Urban Corporation or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amend (43 U.S.C.A. 1601). See FAR 19.701 for more information.

"**Indian Tribe**" means any tribe, band, group, pueblo, or community, including native villages and native groups as defined in the Alaska Native Claims Settlement Act, that is recognized by the Federal government as eligible for services from the Bureau of Indian Affairs. See FAR 19.701 for more information.