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Contract Number:

20-1004

SAP Number

Department of Aging and Adult Services

Department Contract Representative	Cesar Bernal
Telephone Number	(909) 798-8527
Contractor	California Department of Aging
Contractor Representative	Wilson Tam
Telephone Number	916-419-7543
Contract Term	April 1, 2020 through September 30, 2021
Original Contract Amount	\$277,638
Amendment Amount	
Total Contract Amount	\$277,638
Cost Center	5290001036

Briefly describe the general nature of the contract: Allocation from the California Department of Aging for Aging for Aging and Disability Resource Connection/No Wrong Door System relief funds for the prevention, preparation, and response to COVID-19, in the amount of \$277,638, for the period of April 1, 2020 through September 30, 2021.

FOR COUNTY USE ONLY

Approved as to Legal Form

Jackie Carew
 Jacqueline Carew, Wilson, Deputy County Counsel
 Date 10-14-20

Reviewed for Contract Compliance

▶
 Date

Reviewed/Approved by Department

Sharon Nevins
 Sharon Nevins, Director

Date 10/15/2020

CALIFORNIA DEPARTMENT OF AGING

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PROGRAM MEMO

To: Aging and Disability Resource Connections
No: 20-17
DATE ISSUED: September 3, 2020
SUBJECT: The Coronavirus Aid, Relief, and Economic Security (CARES) Act Aging and Disability Resource Connection (ADRC) / No Wrong Door (NWD) System Relief Funds for COVID-19 Pandemic Response
EXPIRES: September 30, 2021
PROGRAMS AFFECTED: Designated and Emerging Aging and Disability Resource Connections
SUPERSEDES: N/A

PURPOSE

This Program Memo (PM) provides guidance, funding information, and financial and data reporting requirements for the Coronavirus Aid, Relief, and Economic Security (CARES) Act ADRC/NWD System critical relief funds for Coronavirus (COVID-19) pandemic response. This funding will be referred to as the "ADRC CARES Act funds."

The purpose of the ADRC CARES Act funds is to aid Designated and Emerging ADRC's efforts to prevent, prepare for, and respond to COVID-19 by 1) supporting capacity and resource allocation at the local level to ensure coordination across agencies and programs, and 2) supporting immediate response to urgent needs resulting from the impacts of the COVID-19 pandemic.

FUNDING ELIGIBILITY, INFORMATION, AND REQUIREMENTS

Recipients must be approved as a Designated or Emerging ADRC and be in good standing with CDA.

In response to the COVID-19 pandemic, Congress has approved H.R. 748 (CARES) Act which allocated \$50 million, nationally, to support state Aging and Disability Resource Centers during the COVID-19 public health emergency. The Administration for Community Living (ACL) has allocated these emergency funds to states based on the number of individuals in each state who are age 60 and older, based on 2018 Census data, and individuals of all ages with disabilities, based on 2017 American Community Survey data. California's allocation totals \$3,005,454.

The ADRC CARES Act funding opportunity shall be primarily used for conducting Rapid Assessments and supporting lasting system changes related to consumer access to existing long-term services and supports (LTSS) options. Access is defined as:

- Connecting individuals to existing LTSS options
- Support for navigating the healthcare and long-term care system

- Enhancing and/or converting service delivery models (i.e. converting to virtual and/or web-based services) to overcome consumer challenges in obtaining existing LTSS options
- Adopting system change initiatives to improve care coordination for consumers and provide streamlined access to existing LTSS options
- Improving coordination and integration between healthcare and long-term care service providers
- Establishing consumer-friendly entry points, or new interfaces, for people seeking LTSS options at the community level

Required Provisions and Use of Funds

Designated and Emerging ADRCs must use funds to perform Rapid Assessments of workforce, population, and services.

- Conduct Rapid Assessment of workforce responsible for conducting application assistance, assessments, person-centered planning, care coordination, transitional services, and follow-up to ensure the safety of workforce and continuity of services
- Conduct Rapid Assessments of emerging workforces, including but not limited to, volunteers, Federal Emergency Management Agency (FEMA) personnel and/or crisis counselors, specialized taskforces, etc. assisting with COVID-19 to leverage state resources
- Conduct Rapid Assessment of populations most at-risk of COVID-19 who are seeking transitional support from hospital-to-home and nursing home-to-home, to release additional pressure on hospitals and nursing homes
- Conduct Rapid Assessment of services provided that are at, or above, capacity, and at-risk of being stopped due to increased demand because of the COVID-19 pandemic

Optional Provisions and Use of Funds

Designated and Emerging ADRCs may use funds to perform the following ADRC access (see definition above) functions.

- Develop, increase, and enhance California's ADRC core services and functions, which include:
 - Enhanced Information and Referral (I&R)
 - Options Counseling
 - Short-Term (and Long-Term) Service Coordination
 - Transition Services (facility-to-home)
- Staff training and infrastructure specific to virtual and/or web-based service assessments and delivery
- Develop and provide assistive technology assessments, devices, and training to older adults, persons with disabilities, and their caregivers
- Mitigate social isolation, by:
 - Developing and implementing social isolation screenings and protocols
 - Proactive I&R follow-up
 - Well-being checks via phone or virtually
- Mitigate obstacles in accessing nutritional supports, personal care services, and other supports and services that are critical in preparing for, and responding to, COVID-19
- Marketing and outreach about the ADRC/NWD system (e.g., updating websites)
- Assistance with applications for services

Designated and Emerging ADRCs may also use funds to perform other local discretionary services.

- To provide flexibility and discretion for ADRCs to address specific local needs CDA is allowing up to 20% of the ADRC's total allocation amount for other discretionary services, with the stipulation that these services must be sustainable during the duration of the COVID-19 emergency and recovery period to:

- Improve the ADRC/NWD system and/or emergency response system and
- Improve the coordination and integration between community healthcare and/or long-term care service providers

Unallowable Use of Funds

Designated and Emerging ADRC's are not allowed to use funds for the following activities:

- Construction and/or rehabilitation of facilities
- Basic research (i.e. scientific or medical experiments)
- Continuation of existing projects without expansion or new and innovative approaches
 - ADRC CARES Act funds shall supplement and not supplant existing federal, state, local, or private funds allocated to conduct the same or similar work

Other Funding Provisions

Local program administrative costs are limited to ten percent (10%) of the total ADRC allocation amount and should be reported as "Administration" on the mandated Expenditure Report, or as directed by CDA. Local program administrative costs are:

- Salaries and benefits associated with staff time dedicated to the administration of the ADRC, such as:
 - Human Resources
 - Accounting and Budgets
 - Information Technology
- Training for performing ADRC related administrative functions such as record keeping, accounting, etc.
- Compiling and reporting mandated ADRC data to CDA

CDA waives our requirement of the board resolution for the acceptance of ADRC CARES Act funds. Please note, this waiver only applies to CDA's requirement and ADRCs will still need to follow their individual/local requirements for accepting these funds which may require a board resolution.

CDA will not require ADRCs to submit budgets for this funding; however, expenditure, outcomes, and deliverables must be reported in the monthly Expenditure Reports and semi-annual Performance Data Reports. ADRC CARES Act funds must be fully expended by September 30, 2021.

Please note that the department may review expenditures, via monitoring or audits, and recover funds for any unallowed costs.

BUDGET DISPLAYS

The Budget Displays for the ADRC CARES Act allocations will reflect funding being available from April 1, 2020, through September 30, 2021. This allows for the backdating of allowable ADRC CARES Act expenditures up to April 1, 2020. Please contact ADRC@aging.ca.gov for your Budget Display.

ALLOCATION METHODOLOGY AND PAYMENT

ADRC CARES Act funding has been allocated based on the factors identified below. This funding is separate from the ADRC Infrastructure Grants funding and has no impact on previous ADRC Infrastructure Grant allocations.

California's total ADRC CARES Act allocation is \$3,005,454.

- \$2,705,454 is allocated to the six (6) Designated and ten (10) Emerging ADRC's which was calculated by:
 - A base allocation of \$85,000
 - The balance was allocated based on factors such as population, square miles, and geographic isolation
- \$300,000 is allocated to the department for state operations and initiatives

These funds are being directly allocated to the ADRC's fiscal entity and do not require a new contract or a contract amendment.

CDA processed a one-time direct-allocation payment to ADRCs, payable to each ADRC's fiscal entity who currently serves as the contractor for the existing ADRC Infrastructure Grants. The fiscal entity, who received the direct allocation, is responsible for managing the funds and submitting the required financial and data reports.

TRACKING, EXPENDITURE REPORTS AND CLOSEOUT

As mentioned above, ADRC CARES Act funding is separate from the ADRC Infrastructure Grant and must be tracked separately.

The department is currently developing an on-line data collection tool specific to all CARES Act service and expenditure data, for both ADRC and other CARES Act funded programs. Financial and data reporting will be converted to on-line submission upon the completion of this tool, but until that time all reporting must occur as directed below.

Rapid Assessment

ADRC's receiving CARES Act funds are required to complete a Rapid Assessment to identify local priorities and organizational steps that will be taken to address the impacts of COVID-19. An initial Assessment is due to CDA by **August 31, 2020**, and an updated assessment is due at the end of the expenditure period, to be submitted no later than **October 31, 2021**.

ADRC's are required to use the Rapid Assessment template located on the [ADRC CARES Act Grant](#) page on CDA's website. Responses must be submitted electronically to ADRC@aging.ca.gov.

Expenditure and Closeout Reporting

To report ADRC CARES Act expenditure information, ADRCs must use the "ADRC CARES Act Expenditure Report". These expenditure reports must be submitted electronically to ADRC@aging.ca.gov. For guidance on reporting expenditures, refer to the "ADRC CARES Act Expenditure Reporting Instructions". Both the Expenditure Report template and instructions are located on the [ADRC CARES Act Grant](#) page on CDA's website.

ADRC's are required to submit their first expenditure report by **October 15, 2020**, for the expenditure period of April 1, 2020, through September 30, 2020.

Effective November 30, 2020, ADRCs must begin submitting monthly ADRC CARES Act expenditure reports to CDA, which are due no later than the last business day of each month, for the previous month's expenditures. For example, the October-2020 expenditure report is due to CDA by November 30, 2020, and will capture all expenditures from October 1, 2020, through October 31, 2020.

A Final Report of Expenditures, or Closeout Report, must be submitted, by each ADRC fiscal entity, electronically to ADRC@aging.ca.gov no later than **October 31, 2021**. This final report, or Closeout, is separate from the required ADRC Infrastructure Grant Closeout Report. Any remaining fund balances will not be eligible for carryover and must be returned to the federal government. The final report, or Closeout, template is forthcoming and will be posted on the [ADRC CARES Act Grant](#) page on CDA's website.

Performance Data Reporting

All ADRC's are required to submit performance data using the "Performance Data Report" template. To request a copy of the template please contact ADRC@aging.ca.gov. Due dates include:

- October 15, 2020
 - ADRC's must report all activities occurring between April 1, 2020, and September 30, 2020
- April 15, 2021
 - ADRC's must report all activities occurring between October 1, 2020, and March 31, 2021
- October 15, 2021
 - ADRC's must report all activities occurring between April 1, 2021, and September 30, 2021

INQUIRIES

For fiscal and data inquiries, email: ADRC@aging.ca.gov

/s/

Irene Walela, Deputy Director
Long-Term Care and Aging Services Division
California Department of Aging

/s/

Thomas Cameron, Deputy Director
Division of Administrative Services
California Department of Aging

cc: Kim McCoy Wade, Director, California Department of Aging
Mark Beckley, Chief Deputy Director, Department of Aging
Wilson Tam, ADRC Branch Chief, California Department of Aging
ADRC Advisory Committee

California Department of Aging
 HR 748 (CARES) ADRC Allocations

#	Contractor/Fiscal Entity	County	ADRC	Weighted Factor	\$85,000 Base, Remaining allocated on factors	
					Base Allocation	Factor Allocation
AD-01	Marin Center for Independent Living	Marin	DESIGNATED	1.78%	\$85,000	\$24,010
AD-02	FREED Center for Independent Living	Nevada	DESIGNATED	1.37%	\$85,000	\$18,436
AD-03	Dayle McIntosh Center	Orange	DESIGNATED	18.07%	\$85,000	\$243,142
AD-04	County of Riverside Office on Aging	Riverside	DESIGNATED	15.69%	\$85,000	\$211,098
AD-05	San Francisco Department of Disability & Aging Services	San Francisco	DESIGNATED	4.97%	\$85,000	\$66,914
AD-06	Ventura County Area Agency on Aging	Ventura	DESIGNATED	5.29%	\$85,000	\$71,223
AE-07	Alameda County Social Services	Alameda County	EMERGING	9.45%	\$85,000	\$127,175
AE-08	Independent Living Center of Kern County	Kern County	EMERGING	6.85%	\$85,000	\$92,204
AE-09	County of Monterey	Monterey County	EMERGING	3.31%	\$85,000	\$44,586
AE-10	Agency on Aging Area 4	Placer County	EMERGING	3.14%	\$85,000	\$42,227
AE-11	Seniors Council of Santa Cruz & San Benito Counties	San Benito County	EMERGING	0.62%	\$85,000	\$8,295
AE-12	San Bernardino County Department of Aging and Adult Services	San Bernardino County	EMERGING	14.32%	\$85,000	\$192,638
AE-13	Communities Actively Living Independent & Free	Los Angeles	EMERGING	9.05%	\$85,000	\$121,697
AE-14	Area 12 Agency on Aging	Mother Lode (Amador, Calaveras, Tuolumne & Mariposa Counties)	EMERGING	2.91%	\$85,000	\$39,150
AE-15	Agency on Aging Area 4	Yolo County	EMERGING	1.53%	\$85,000	\$20,573
AE-16	Agency on Aging Area 4	Yuba & Sutter Counties	EMERGING	1.64%	\$85,000	\$22,086
					\$1,360,000	\$1,345,454
					100.00%	\$2,705,454

TOTAL: DESIGNATED AND EMERGING ADRCs **100.00%** **\$1,360,000** **\$1,345,454** **\$2,705,454**